

Can you use Synaptic research for Compliance checking?

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Synaptic Research gives compliance officers an unrivaled ability to enforce standards across their organisation, monitor the advice which is being given, and to lower compliance risk.

- Enforce templates for suitability reports and other reports across the entire firm.
 - Control which advisers are allowed to edit suitability reports.
 - Carry out remote file-checks.
 - Receive electronic submission of research through the system.
 - Construct panels or lists of approved products.
 - Force advisers to use those panels.
 - Or, allow trusted advisers to go off-panel.
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