Setting Attitude to Risk Manually

15/04/2025 3:53 pm BST

To create an attitude to risk questionnaire, create a new contact or find an existing contact record.

In this example, I will search for a contact called 'Test Client 1':



This will load up their contact record:

Q, Search. Synaptic Pathways Home Paraplamer Access Centre Contacts v Research v Portfolo Builder v Investment Pathways Generic Funds v Configuration Fund Lists v Knowledge Bas	الله الم
Contact Ms. Test Client1	▲ + Follow Edit New Contact New Opportunity ▼
Title Account Name Proce (2) ▼ Email Contract Owner 07777746444 test@tes44.com Image: Test@tes44.com Image: Test@tes44.com Image: Test@tes44.com	
Related Details Medical Holdings Related Contacts Family AUM Special Deals	Recent items (0)
3 We found no potential duplicates of this Contact.	Activity Chatter
Client Questionnaires (0)	
Risk Illustrations (0)	Filters: All time • All activities • All types 🕸 Refresh • Expand All • View All
Risk Illustrations (0)	V Upcoming & Overdue
Research (0)	No activities to show. Get started by sending an email, scheduling a task, and more.
D Income Analysis (0)	No past activity. Past meetings and tasks marked as done show up here.
Protection (0)	
Opportunities (0)	
Cases (0)	
Off-Platform Holdings (0)	
Platform Holdinos (0)	

To create an attitude to risk questionnaire, click 'New' next to 'Client Questionnaires'

Related	Details	Medical	Holdings	Related Contacts	Family AUM	Special Deals
🚶 We fo	ound no pote	ential duplicat	es of this Conta	ct.		
🗵 Clien	t Questionna	ires (0)				New
Risk I	llustrations (0)				New
😰 Resea	arch (0)					New
🖸 Incon	ne Analysis ((0)				New
Prote	ection (0)					New

Select Accumulation and Next:

		ł
	New Client Questionnaire	
_	Select a record type O Accumulation	
	O Decumulation	ι
	Cancel Next	

Enter the questionnaire name and click Save

Ne	ew Client Questionnaire: Accumulation	
		* = Required Information
Information		
* Client Questionnaire Name ATR Questionnaire	Owner Owner Owner	
	Cancel Save & New Save	
Client Questionnaire " <u>ATR Q</u>	<u>uestionnaire</u> " was created. ×	

This will take you back to the contact record. To complete the questionnaire or send to a client to complete, click on the name of the questionnaire:

Client Questionnaires (1)								C ^r New	
Client Questionnaire	ATR Questionnaire	ontact?	\sim	Risk Categ 🗸	Risk Rating $~~$	Default ↓	~	CFLQ Completed?	~
1 ATR Questionnaire									
	Contact Test Client1	V	/iew All						

Click 'Complete Manually'

Synaptic Pathways Home Paraplanner Access Centre Contacts > Research > Portfolio Builder >	Q, Search v Investment Pathways Generic Funds v Configuration Fund Lists v Knowledge Base My Apps & Settings Via	الله الله الله الله الله الله الله الله
E Client Questionnaire ATR Questionnaire		Send to Client Set as Default Delete
Contact Test Client1		
:Ξ Details 🖉 Risk Questionnaire 🛒 Capacity For Loss		
Complete the following questionnaire to establish your Client's attitude towards taking risk. The risk category determined will be us	red as a basis of comparison to ensure the final recommendation is suitable and evidenced.	🗸 Complete Manually
1. People who know me would describe me as a cautious person.	7. I find investment matters easy to understand.	
Select an option	Select an option	Answer Sense Checks
2. I feel comfortable about investing in the stockmarket.	8. I am willing to take substantial investment risk to earn substantial returns.	Description of Sense Check
	Select an option	Your answers are very risk averse relative to the average. Your adviser will discuss a low risk portfolio with you. However, it may be the case that
1 generally look for safer investments, even if that means lower returns. Select an option T	9. I've little or no experience of investing in stocks, shares, or investment funds. Select an option v	you do not want to take any investment risk and would prefer a savings account or equivalent. If this is the case, please discuss this with your
 Usually it takes me a long time to make up my mind on investment decisions. 	10. I tend to be anxious about the investment decisions I've made.	adviser who will be able to tell you the options and implications.
Select an option 💌	Select an option 💌	Risk Profile Category Risk Rating
5. I associate the word "risk" with the idea of "opportunity".	11. I'd rather take my chances with higher risk investments than have to save more.	
Select an option	Select an option 💌	Risk Category Description Cautious Investors are risk averse and typically have very limited
G. I generally prefer bank deposits to risider investments. Select an option v	12. I'm not comfortable with the ups and downs of stockmarket investments. Select an option	experience and understanding of investments. They often take a long time to make investment decisions and tend to be anxious about any investment decisions they have made. They tend to associate risk with potential loss rather than opportunity. They typically look for investments with lower investment risk rather than seeking higher returns. They
		manufactor interaction is a large transmission of an activity of the teacher may generally prefer to activity of the leaves will be a lower to the stock, shares and meetment funds. Some cautious investors will be unvilling to take any investment risk at 10. Cautions investors are more risk avere than about 80% of the investing population (u.e. compared to 9 people out of 10).



Set the Risk Category:

	22 - YIK ONTANI (1722 - YIK ONTA	111-1-10-		>
	Client Questionnaire			
is	sk Questionnaire and Capacity For Loss questions will get locked/disabled after completion so verify before saving.			
ſ	*Risk Category Select an Option	~	l	
	Cautious		1	l
	Moderately Cautious			l
	Balanced			l
	Moderately Adventurous			-
		ancel	ave	

Complete the Compliance Reason and Save

Client Questionnaire	
Risk Questionnaire and Capacity For Loss questions will get locked/disabled after completion so verify before saving.	
*Risk Category	
Moderately Cautious	•
* Compliance Reason	
Test Compliance Notes	
Cancel	Savel
I In I fana a la anviana strant dia investment desisteme Pro mada	

Client Questionnaire ATR Questionnaire		Send to Client Set as Default [
nt1		
Details 🖉 Risk Questionnaire 🛒 Capacity For Loss		
This questionnaire is completed and now locked, it	can set as default for the Client or a new Attitude to Risk Questionnaire can be created.	
People who know me would describe me as a cautious person.	7. I find investment matters easy to understand.	
Select an option 👻	Select an option 💌	Answer Sense Checks
I feel comfortable about investing in the stockmarket.	8. I am willing to take substantial investment risk to earn substantial returns.	
Select an option 💌	Select an option 🖤	Description of Sense Check Your answers are very risk averse relative to the average. Your adviser wil
I generally look for safer investments, even if that means lower returns.	9. I've little or no experience of investing in stocks, shares, or investment funds.	discuss a low risk portfolio with you. However, it may be the case that you do not want to take any investment risk and would prefer a savings
Select an option 👻	Select an option 💌	account or equivalent. If this is the case, please discuss this with your adviser who will be able to tell you the options and implications.
Usually it takes me a long time to make up my mind on investment decisions.	10. I tend to be anxious about the investment decisions I've made.	
Select an option 👻	Select an option 👻	Risk Profile Category Risk Rating Cautious 1
I associate the word "risk" with the idea of "opportunity".	11. I'd rather take my chances with higher risk investments than have to save more.	Cautious
Select an option 👻	Select an option 👻	Risk Category Description
I generally prefer bank deposits to riskier investments.	12. I'm not comfortable with the ups and downs of stockmarket investments.	Cautious Investors are risk averse and typically have very limited experience and understanding of investments. They often take a long
Select an option 👻	Select an option 🖤	time to make investment decisions and tend to be anxious about any investment decisions they have made. They tend to associate risk with
		potential loss rather than opportunity. They typically look for investments with lower investment risk rather than seeking higher returns. They
		generally prefer bank accounts and are less willing to invest in stocks, shares and investment funds. Some cautious investors will be unwilling
		to take any investment risk at all. Cautious investors are more risk averse than about 90% of the investing population (i.e. compared to 9 people out of 10).

'Set as default'. This will allow you to view the Risk Questionnaire report and use the risk questionnaire in Illustrations.

Client Questionnaire ATR Questionnaire		Send to Client Set as Default Delet
ontact est Client1		
:Ξ Details 🖉 Risk Questionnaire 🛒 Capacity For Loss		
This questionnaire is completed and now locked, it car	set as default for the Client or a new Attitude to Risk Questionnaire can be created.	
People who know me would describe me as a cautious person. Select an option ▼	7. I find investment matters easy to understand. Select an option	Answer Sense Checks
2. I feel comfortable about investing in the stockmarket. Select an option *	8. I am willing to take substantial investment risk to earn substantial returns. Select an option	Description of Sense Check
3. I generally look for safer investments, even if that means lower returns. Select an option *	9. Twe little or no experience of investing in stocks, shares, or investment funds. Select an option	Your answers are very risk averse relative to the average. Your adviser will discuss a low risk portfolio with you. However, it may be the case that you do not want to take any investment risk and would prefer a savings account or equivalent. If this is the case please discuss this with your
4. Usually it takes me a long time to make up my mind on investment decisions.	10. I tend to be anxious about the investment decisions I've made.	adviser who will be able to tell you the options and implications.
Select an option	Select an option	Risk Profile Category Risk Rating Cautious 1
Select an option	Select an option	Risk Category Description
6. I generally prefer bank deposits to riskier investments.	12. I'm not comfortable with the ups and downs of stockmarket investments.	Cautious Investors are risk averse and typically have very limited experience and understanding of investments. They often take a long
Select an option	Select an option v	Byperine of and understanding of memory and terred to be and/out about a wing time to make investment decisions and here to be annous about any investment decisions they have made. They tend to associate in kin with potential loss rafter than oppositivity. They typically loss for investments with lower investment risk rafter than seeking higher returns. They generally prefet bank account and are issuilling to invest in stock, shares and investment funds. Some cautions investors will be unwilling to take any investment fixed. Caudiosis metodos are nor ick avera than about 50% of the investing population (i.e. compared to 9 people out of 10).

		×						
Set As Default For Client								
On confirming, this questionnaire will be set as default	On confirming, this questionnaire will be set as default for the client. Please verify risk questionnaire and CFLQ are complete.							
	(Cancel Confirm						
Select an option		Set as default						
 Synaptic Pathways Home Persplanner Access Centre Contacts v Research v Portfolio Buildt 	Q. Search. ar v Investment Pathways Generic Funds v Configuration Fund Lists v Knowledge Base My Apps & Settings	*** Image: Control of the						
Gient Questionnaire ATR Questionnaire	Successi Queciessi Constraints is set as default for the client	Send to Client Set as Default Delete						
Contact Test Client1								
Image: The second se	7. If ind investment matters easy to understand. Select an option • 8. I are willing to take substantial investment risk to earn substantial returns. Select an option • 9. Twe little or no experience of investment risk to earn substantial returns. Select an option • 10. I tend to be anxious about the investment decisions I've made. Select an option • 11. I'd rather take my chances with higher risk investments than have to save more. Select an option • 12. I'm not comfortable with the ups and downs of stockmarket investments. Select an option •	More Sense Checks Sense Sen						
		Compliance Notes						