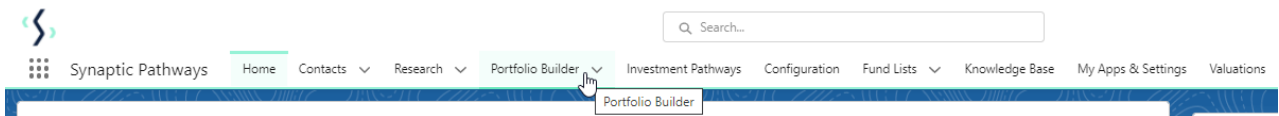


Portfolio Builder - Compliance Tab

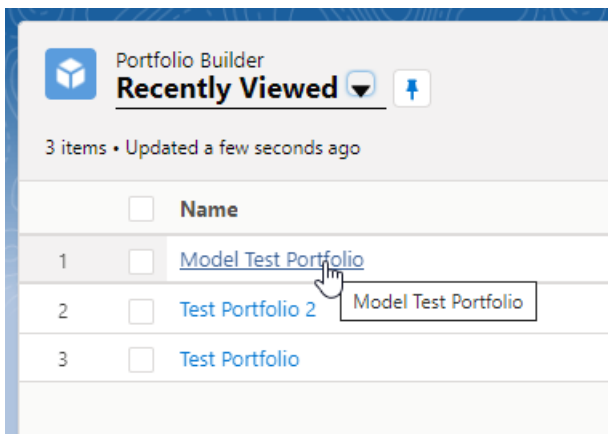
Last Modified on 23/04/2024 11:23 am BST

The compliance tab can be found within your model and bespoke portfolios.

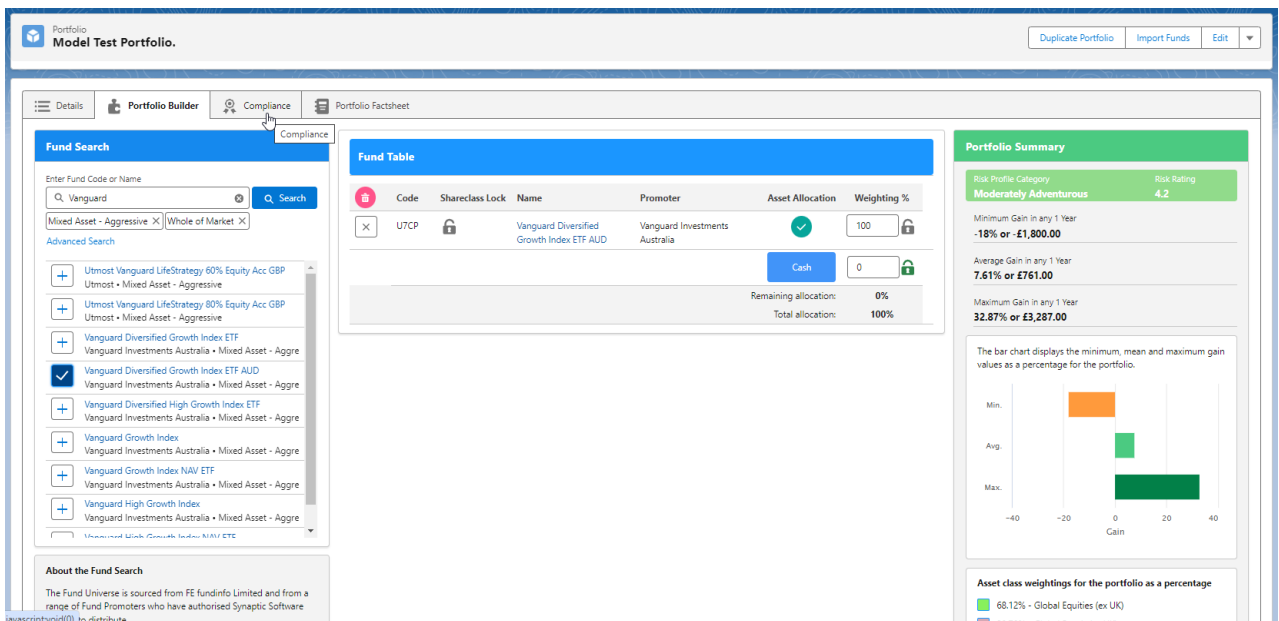
Click on Portfolio Builder in the toolbar or use the search box at the top of the screen to find your portfolio:



Click into the portfolio:



Compliance can be found in the tabs at the top of the portfolio, as seen below:



Synaptic Pathways Home Paraplanner Access Centre Contacts Research Portfolio Builder Investment Pathways Generic Funds Configuration Fund Lists Knowledge Base My Apps & Settings Valuations

Model Test Portfolio Duplicate Portfolio Import Funds Edit

Details Portfolio Builder Compliance Portfolio Factsheet

How It Works

An investment's asset allocation is used to calculate the 3 metrics make up a Synaptic risk profile, derived from the Moody's Analytics quarterly simulation (10 year term) to identify viable investment outcome.

Minimum gain: Value of investment at 95th percentile of the distribution graph. Customers should expect a loss in region of the min gain value in 1 out of 20 years (5%). This is how we define a 'bad year' in the investment journey; used as the basis of mapping investments to risk categories and consideration of client's Capacity for Loss.

Maximum gain: the corollary of the Minimum gain but measured at the 5th percentile of the distribution graph. Useful to demonstrate the asymmetric nature of investment returns to clients.

Average gain: Average expected return, annualised, over 10 year term. Useful for growth assumptions in financial planning.

Compliance Summary

MiFID II PROD Optional statement to describe the Portfolio

Salesforce Sans 12 B I U C

Efficient Frontier

Mean Return %	Gain
5.96%	~10
6.72%	~20
7.51%	~30
7.61%	~35
8.39%	~40
8.66%	~45

Portfolio Summary

Risk Profile Category: **Moderately Adventurous** Risk Rating: **4.2**

Minimum Gain in any 1 Year: **-18% or -£1,800.00**

Average Gain in any 1 Year: **7.61% or £761.00**

Maximum Gain in any 1 Year: **32.87% or £3,287.00**

The bar chart displays the minimum, mean and maximum gain values as a percentage for the portfolio.

Asset class weightings for the portfolio as a percentage

- 68.12% - Global Equities (ex UK)
- 31.78% - Global Bonds (ex UK)

This area allows you to add a compliance summary for the portfolio (This is an optional)

Details Portfolio Builder Compliance Portfolio Factsheet

How it Works

An investment's asset allocation is used to calculate the 3 metrics make up a Synaptic risk profile, derived from the Moody's Analytics quarterly simulation (10 year term) to identify viable investment outcome.

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Compliance Summary

MiFID II PROD Optional statement to describe the Portfolio

Salesforce Sans 12 B I U C

Efficient Frontier

Mean Return %	Gain
5.73%	~40

An efficient frontier graph displays where the portfolio sits against the risk categories, as well as a portfolio summary, asset class graph & legend:



Portfolio **Model Test Portfolio** Duplicate Portfolio Import Funds Edit

Details Portfolio Builder Compliance Portfolio Factsheet

How it Works

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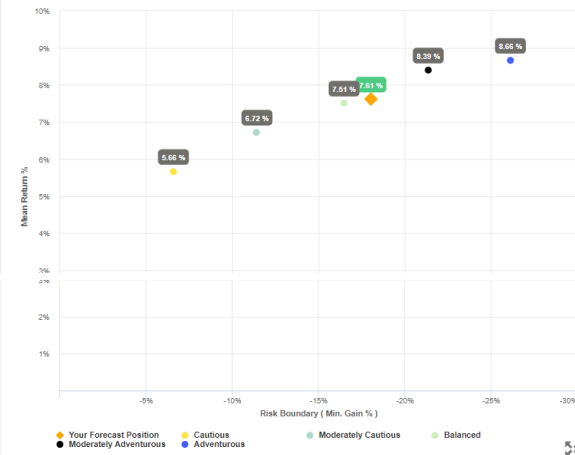
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Compliance Summary

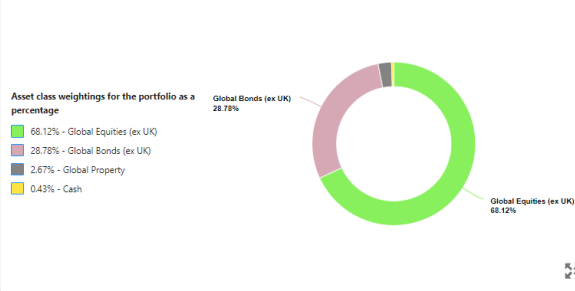
MiFID II PROD Optional statement to describe the Portfolio

Salesforce Sans 12 B I U L Bold Italic Underline Link Unlink Text Color Background Color

Efficient Frontier



Asset Allocation



Portfolio Summary

Risk Profile Category: **Moderately Adventurous** Risk Rating: **4.2**

Minimum Gain in any 1 Year

-18% or -£1,800.00

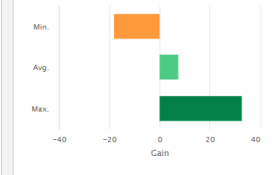
Average Gain in any 1 Year

7.61% or £761.00

Maximum Gain in any 1 Year

32.87% or £3,287.00

The bar chart displays the minimum, mean and maximum gain values as a percentage for the portfolio.



Asset class weightings for the portfolio as a percentage

- 68.12% - Global Equities (ex UK)
- 28.78% - Global Bonds (ex UK)
- 2.67% - Global Property
- 0.43% - Cash

How are these figures determined?

The figures returned use a 10 year term, with a £10,000 initial investment on a nominal growth basis with annual rebalancing.